



# NICHOLAS HOOPER

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## OVERVIEW

A senior level UK Investment professional with experience as CEO of multifamily investment office, NED for Scottish law firm combined with fundraising for a Sri Lankan National Bank and a Philippines Gold mine.

Over 30 years successful UK Financial markets experience, with management level accreditation with the FCA and a Fellow of the CISI, having a strong understanding of the challenges that face fund managers combined with success in fund raising for overseas companies.

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## AMBITION

To manage a team of experienced wealth managers within a growing Asset Manager, providing attention on money laundering, compliance, asset management, and getting the best out of a diversified team whilst also winning mandates and directing training.

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## PROFESSIONAL EXPERIENCE

### LONDON INVESTMENT MANAGEMENT | Nov 2017 - Present

#### CEO

- From the initial business plan to choosing the right partners to launch a directly regulated multifamily investment office with a £1/2 turnover, 8 Clients and at peak £75m of investments. Our clients had further assets which in aggregate was over £600m .
- Advising on the investments, overseeing compliance, employing staff and all that is involved in a profitable boutique UK investment firm.

### CREDO GROUP UK | MAY 2004 – Nov 2017

#### Senior Portfolio Manager

- Portfolio manager for 70 clients including: pension funds, family offices and hedge funds.
- Set up corporate finance division and raised funds for Hatton National Bank in Sri Lanka and Metals Exploration in Philippines.

### JUST EMPLOYMENT LAW | Aug 2004 – Feb 2012

#### Non-Executive Director

- Involved with the founding and operations of a Scottish based UK employment law firm. From finding their first large client to help in strategy, support and advice during a pattern infringement case and assisting with London and southern client base.

### TEATHER & GREENWOOD | 1998 - 2002

#### Director

- Managed a team of 12 Portfolio managers.
  - Recruited and trained team.
- Portfolio manager for over 250 clients spread over the team, billing £2.5m

### TOWNSLEY & CO | 1996 - 1999

### **Investment Manager**

- Portfolio manager for a range of high-net-worth clients, pension funds, family offices and hedge funds, together with managing a team of five investment managers.
- Generated new business through marketing and networking portfolio of contacts.
- Generated investment ideas through company visits, investment seminars and disseminating third party research.

### **MERCHANT SECURITIES | 1994 - 1996**

#### **Investment Manager**

- Initially find base clients most of whom have stayed with me ever since.
  - Generated new clients through direct marketing.

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### **EDUCATION**

#### **CISI PCIAM (Level 6): 2014**

- Updated Stock Exchange exams

#### **Securities and Financial Derivatives: 1994**

- Initial Stock Exchange entry exams

#### **Cranfield School of Management: 1982-85**

- As part of ongoing corporate training at Unilever

#### **Worth School: 1973-1980**

- a Benedictine Monastery School based in Sussex.

#### **FCA regulated positions held 2003-2024.**

CF1 Director

CF3 Chief Exec

SMF16 Compliance Oversight

SMF 17 Money Laundering Reporting Officer

CF23 Corporate Finance Adviser

CF27 Investment Management

CF30 Customer